# Analytic Results for Quantum Quenches in the Transverse Field Ising Chain

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# Quantum systems out of equilibrium

#### Idea:

- A. Consider a quantum many-particle system with Hamiltonian H
- **B.** Prepare the system in a state  $|\psi\rangle$  that is **not** an eigenstate.
- C. Time evolution  $|\psi(t)\rangle = \exp(-iHt) |\psi\rangle$
- **D.** Study time evolution of local observables  $\langle \psi(t)|O(x)|\psi(t)\rangle$  in the **thermodynamic limit**.

# Quantum systems out of equilibrium

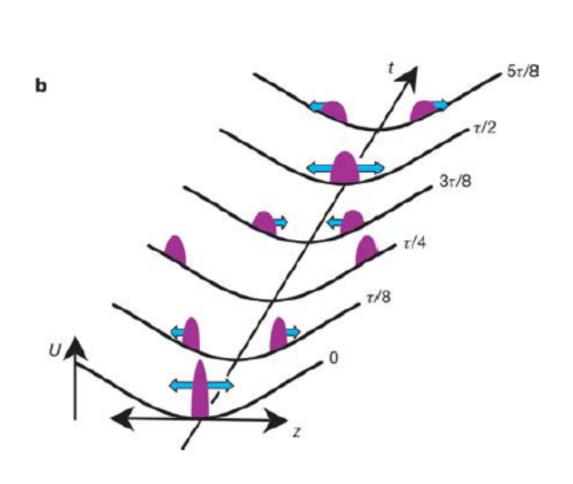
### Why is this interesting?

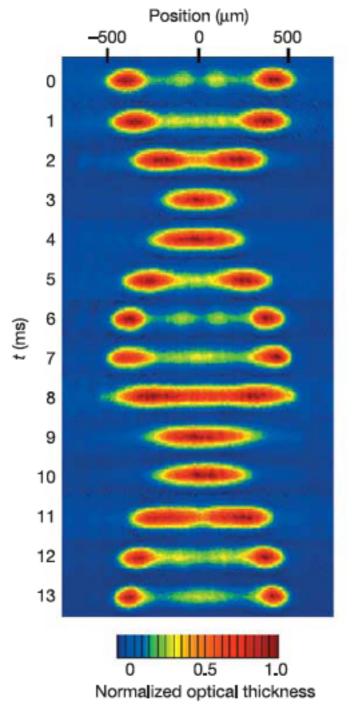
- A. It explores a new regime of Quantum Theory: properties of states other than ground state/equilibrium states + their immediate vicinities (low lying excitations).
- B. In the thermodynamic limit new physics may occur.
- C. Is the time evolution towards a stationary state?
- **D.** If so, is there a general principle that tells us how to evaluate averages of observables in these states (c.f. Gibbs distribution).

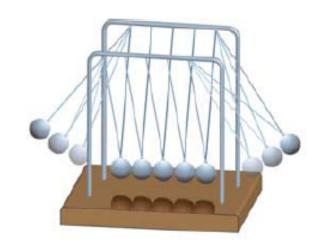
# Experiments: "Quantum Newton's Cradle"

T. Kinoshita, T. Wenger and D.S. Weiss, Nature 440, 900 (2006)

# 40-250 87Rb atoms in a 1D optical trap

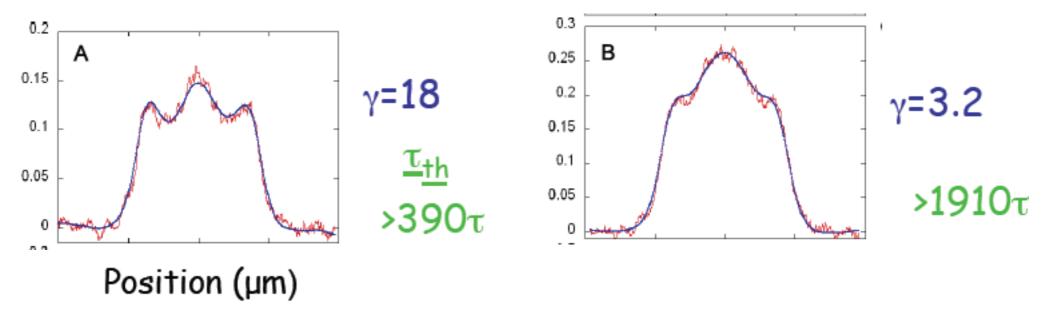




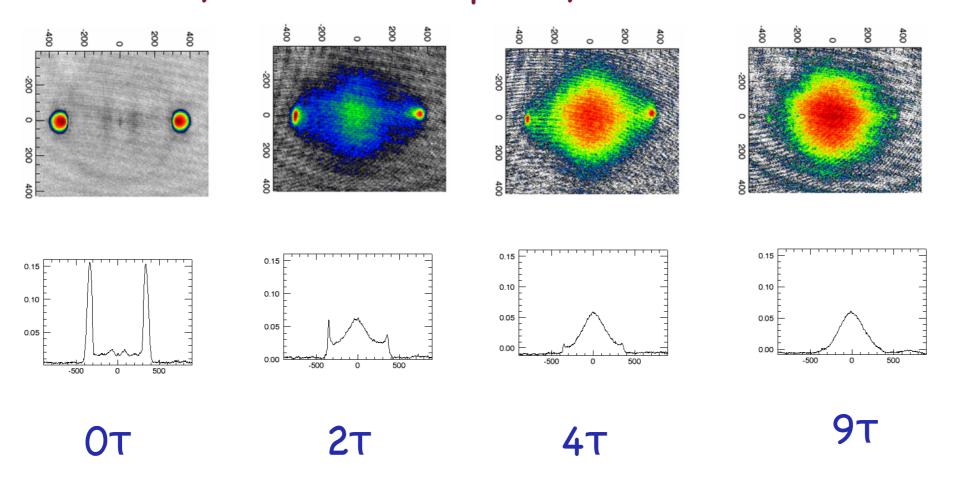


Essentially unitary time evolution.

- 1D system "relaxes" very slowly in time, to a strange distribution.



- 2D and 3D systems relax quickly: Thermalization occurs in ~3 collisions.



# "Quantum Newton's Cradle"

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Suggestion: the 1D case is special because the system is "close to being integrable"

Without trap: 
$$\mathcal{H}_N = -\sum_{j=1}^N \frac{\partial^2}{\partial x_j^2} + 2c\sum_{N\geq j>k\geq 1} \delta(x_j-x_k).$$

Has infinite number of local higher conservation laws, solvable by Bethe Ansatz (Lieb+Liniger '63)

My opinion: experiments not sensitive to integrability, but to dimensionality (difficulty of mtm relaxation in D=1)

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Question: is the nonequilibrium evolution of integrable models special and if so, how?

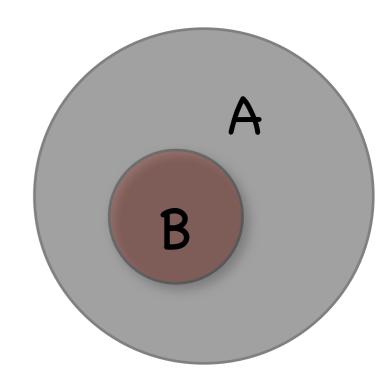
#### "Thermalization"

Belief: "generic" system "thermalize" at infinite times.

Density matrix: ρ=|ψ×ψ|

Reduced density matrix:  $\rho_B = tr_A |\psi \times \psi|$ 

If A is infinite then  $\rho_B = \exp(-\beta_{eff} H_B)/Z_B$ 



# Rigol et al (2007): Integrable systems do not thermalize.

Let  $I_m$  be local integrals of motion  $[I_m, I_n]=0$ 

Late time behaviour described by generalized Gibbs ensemble:

$$\rho_{gG} = exp(-\Sigma \lambda_m I_m)/Z_{gG}$$

$$Z_{gG}$$
=tr exp(- $\Sigma \lambda_m I_m$ )

$$\lim_{t\to\infty} \langle \psi(t)|O(x)|\psi(t)\rangle = tr[\rho_{gG} O(x)]$$

$$\lambda_m$$
 fixed by  $tr[\rho_{gG} I_m] = \langle \psi(0)| I_m | \psi(0) \rangle$ 

#### Transverse Field Ising Chain

#### Simplest paradigm of a T=0 Quantum Phase Transition

Hamiltonian:

$$H = -J \sum_{j=1}^{L} \left[ \sigma_j^z \sigma_{j+1}^z + h \sigma_j^x \right].$$

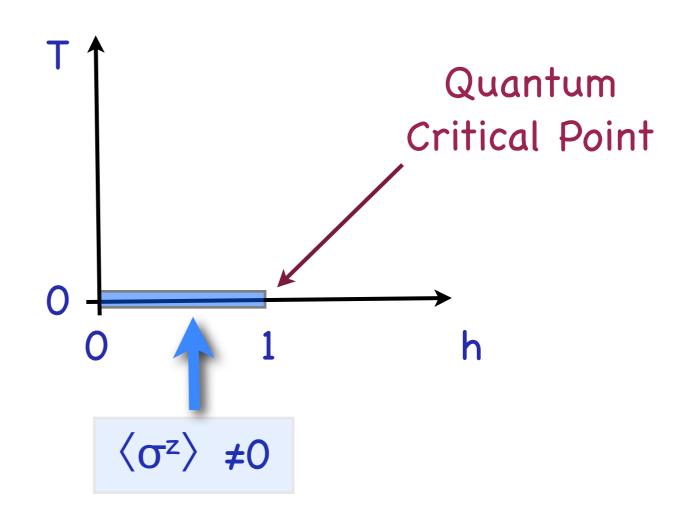
 $\mathbb{Z}_2$  symmetry: rotation by  $\pi$  around x-axis.

$$\sigma_j^{\alpha} \rightarrow -\sigma_j^{\alpha}, \quad \alpha = y, z.$$

#### Phase Diagram:

order parameter:  $\langle \sigma_j^z \rangle$ 

 $(\langle \sigma_j^x \rangle \neq 0 \text{ always})$ 



#### Transverse Field Ising Chain

Jordan-Wigner transformation to spinless fermions:

$$\sigma_j^x = 1 - 2c_j^\dagger c_j$$
,  $\sigma_j^z = -\prod_{l < j} (1 - 2c_l^\dagger c_l)(c_j + c_j^\dagger)$ . nonlocal

Fourier+Bogoliubov transformations:

$$c(k) = \frac{1}{\sqrt{L}} \sum_{j} c_j \ e^{-ikj}.$$

$$c(k) = \frac{1}{\sqrt{L}} \sum_{j} c_j \ e^{-ikj}. \qquad \begin{pmatrix} c(k) \\ c^{\dagger}(-k) \end{pmatrix} = R_h(k) \begin{pmatrix} \alpha_k \\ \alpha_{-k}^{\dagger} \end{pmatrix}$$

$$H = \sum_{k} \epsilon_h(k) \left[ \alpha_k^{\dagger} \alpha_k - \frac{1}{2} \right] \qquad \epsilon_h(k) = 2J\sqrt{1 + h^2 - 2h\cos(k)}.$$

$$\epsilon_h(k) = 2J\sqrt{1 + h^2 - 2h\cos(k)}$$

Ground State:

$$\alpha_k|0\rangle = 0.$$

This will be our initial state

#### Quantum Quench h→h'

New Hamiltonian:

$$H(h') = \sum_{k} \epsilon_{h'}(k) \left[ \beta_k^{\dagger} \beta_k - \frac{1}{2} \right]$$

Time evolution:

$$\beta_k(t) = e^{-i\epsilon_{h'}(k)t}\beta_k$$

New vs old Bogoliubov 
$$\begin{pmatrix} \beta_k \\ \beta_{-k}^\dagger \end{pmatrix} = U(k) \begin{pmatrix} \alpha_k \\ \alpha_{-k}^\dagger \end{pmatrix} \qquad U(k) = R_{h'}^\dagger(k) R_h(k)$$
 fermions:

Time evolution of  $\sigma^{x}$ 

(Barouch, McCoy & Dresden '70)

$$\sigma_j^x(t) = -\frac{1}{L} \sum_{k,p} e^{-i(k-p)j} \; (\alpha_k^\dagger, \alpha_{-k}) \; S(k,p,t) \begin{pmatrix} \alpha_p \\ \alpha_{-p}^\dagger \end{pmatrix} \qquad \text{S(k,p,t) a known 2x2 matrix.}$$
 
$$\text{straightforward calculation}$$

$$\langle 0|\sigma_j^{\times}(t)|0\rangle \xrightarrow[t\to\infty]{} C(h')+O(t^{-3/2}).$$

#### Thermalization?

Gibbs ensemble with T<sub>eff</sub> 
$$ho_{
m G} = {1\over {\cal Z}} e^{-H(h')/T_{
m eff}}$$

Teff fixed by

$$\operatorname{tr}\left[\rho_{\mathrm{G}} H(h')\right] = \langle 0|H(h')|0\rangle$$

$$\lim_{t\to\infty} \operatorname{tr} \left[\rho_G \ \sigma^x(t)\right] \neq C(h').$$

→ no thermalization.

#### How about the Generalized Gibbs Ensemble?

Conserved Quantities:  $I(k) = \beta_k^{\dagger} \beta_k$ 

 $\rho_{gG} = \frac{1}{\mathcal{Z}_{gG}} e^{-\sum_{k} \lambda_k I(k)}$ Density Matrix:

Fix Lagrange  $\langle 0|I(k)|0\rangle = \operatorname{tr}\left[\rho_{\rm gG}\ I(k)\right]$ Multipliers:

Like a mode-dependent temperature  $ho_{\mathrm{gG}} = \frac{1}{\mathcal{Z}_{\mathrm{gG}}} e^{-\sum_k H(k)/T_{\mathrm{eff}}(k)}$ 

$$\rho_{\rm gG} = \frac{1}{\mathcal{Z}_{\rm gG}} e^{-\sum_k H(k)/T_{\rm eff}(k)}$$

 $\lim_{t \to \infty} \operatorname{tr} \left[ \rho_{gG} \ \sigma^x(t) \right] = C(h').$ 

⇒ GGE works.

#### The role of locality

(Rossini et al'10) (Fioretto and Mussardo '10)

 $\sigma^{x}$  is quite **special** (non generic): it is **local** w.r.t. to the fermion excitations and couples only to 2-particle states.

σ<sup>z</sup> is **non-local** (couples to states with arbitrary number of fermions) and it's difficult to say from numerical studies whether 2-point function **thermalizes** (particularly for small quenches).

Is it possible that certain operators integrable models thermalize and others don't?

#### Our work: 1 and 2-point functions of $\sigma^z$

Calculations are **difficult**. Developed two analytic methods based on (a) determinants (b) form factors.

### Result 1: t=\infty behaviour for arbitrary h,h'

$$\lim_{t\to\infty} \langle 0|\sigma_j^z(t) \ \sigma_{j+\ell}^z(t)|0\rangle \sim \exp\left(-\ell/\xi\right) \ , \ell\gg 1,$$

# $\xi$ a simple function of h,h':

$$\xi^{-1} = \begin{cases} -\ln\left[x_{+} + x_{-} + \sqrt{4x_{+}x_{-}}\right] & \text{if } h, h' < 1\\ \ln\left(\min[h, h_{1}]\right) - \ln\left[x_{+} + x_{-} + \sqrt{4x_{+}x_{-}}\right] & \text{if } h, h' > 1\\ -\ln\left[x_{+} + x_{-}\right], & \text{else.} \end{cases}$$

$$x_{\pm} = \frac{1}{4} [\min(h', h'^{-1}) \pm 1] [\min(h, h^{-1}) \pm 1]$$
 
$$h_1 = \frac{1 + h'h + \sqrt{(h'^2 - 1)(h^2 - 1)}}{h' + h}$$

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Compatible with GGE, but not with thermalization!

Interpretation in terms mode-dependent temperature:

(c.f. Calabrese/Cardy '07)

$$\xi^{-1} = \int_0^{\pi} \frac{dk}{\pi} \xi^{-1}(k) = \int_0^{\pi} \frac{dk}{\pi} \ln \left[ \tanh \frac{\epsilon_{h'}(k)}{2T_{\text{eff}}(k)} \right] . \qquad (h,h'<1)$$

thermal correlation length:  $\xi_T^{-1} = \int_{-\pi}^{\pi} \frac{dk}{2\pi} \ln \left| \tanh \frac{\epsilon_{h'}(k)}{2T} \right|$ .

#### Result 2: Time dependence for late times

# Quenches within the ordered phase (h<1 to h'<1):

$$\langle 0|\sigma_j^z(t)|0\rangle \sim \exp\left(t\int_0^\pi \frac{dk}{\pi}\epsilon_h'(k)\ln\left[\cos(\Delta_k)\right]\right) \qquad \cos\Delta_k = \frac{h'h - (h'+h)\cos k + 1}{\epsilon_h(k)\epsilon_{h'}(k)}.$$

$$\cos \Delta_k = \frac{h'h - (h'+h)\cos k + 1}{\epsilon_h(k)\epsilon_{h'}(k)}.$$

(approaches zero although we remain in the ordered phase).

Mode-dependent decay rate:  $\tau^{-1} = \int_0^\pi \frac{dk}{\pi} \tau^{-1}(k) = \int_0^\pi \frac{dk}{\pi} \epsilon_{h'}(k) \ln \left[\cos \Delta_k\right].$ 

Decay rate and correlation length related by

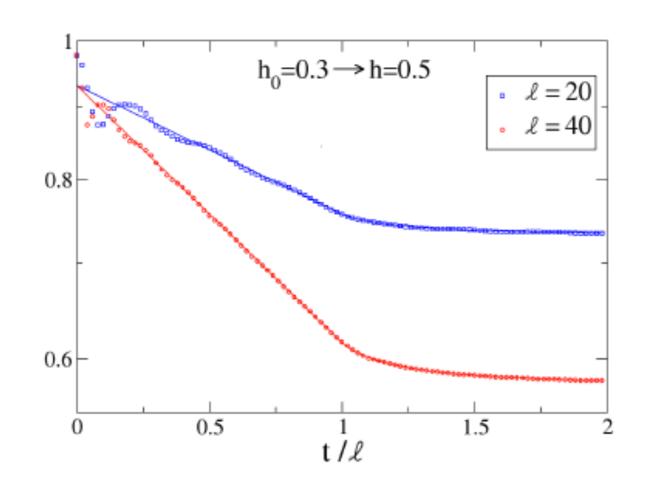
$$\xi(k) = \epsilon_{h'}(k) \ \tau(k).$$

Can understand approach to stationary state in terms of GGE

### Quenches within the ordered phase (h<1 to h'<1):

$$\langle 0|\sigma_j^z(t) \ \sigma_{j+\ell}^z(t)|0\rangle \sim \exp\left(t\int\limits_{2t\epsilon_{h'}'(k)<\ell} \frac{dk}{\pi} 2\epsilon_{h'}'(k) \ln\left[\cos(\Delta_k)\right] + \ell\int\limits_{2t\epsilon_{h'}'(k)>\ell} \frac{dk}{\pi} \ln\left[\cos(\Delta_k)\right]\right)$$

Asymptotics vs
Numerics:



### Approach I: Block-Toeplitz Determinants

Express  $\sigma_j^z(t)$  in terms of the "old" Bogoliubov fermions  $\alpha_k$ 

Wick's thm
$$\langle 0|\sigma_{j}^{z}(t) \sigma_{j+n}^{z}(t)|0\rangle \longrightarrow Pf(T)$$

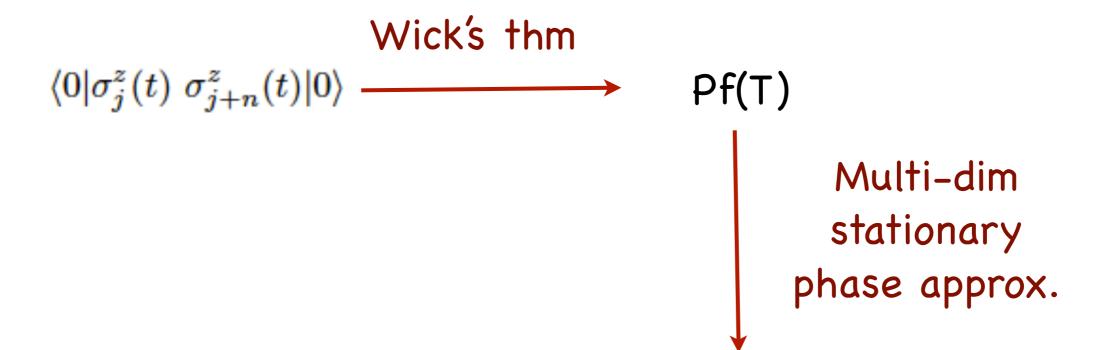
$$T_{ln} = \begin{pmatrix} f_{l-n} & -g_{n-l} \ g_{l-n} & -f_{l-n} \end{pmatrix}$$
 Block-Toeplitz matrix

$$f_{l} = i \int_{-\pi}^{\pi} \frac{dk}{2\pi} e^{-ikl} \sin(\Delta_{k}) \sin(2\epsilon'_{h}(k)t)$$

$$g_{l} = \int_{-\pi}^{\pi} \frac{dk}{2\pi} e^{-ik(l-1)} \left[\cos(\Delta_{k}) + i\sin(\Delta_{k})\cos(2\epsilon'_{h}(k)t)\right]$$

#### Approach I: Block-Toeplitz Determinants

Express  $\sigma_j^z(t)$  in terms of the "old" Bogoliubov fermions  $\alpha_j^{\alpha_j}$ 



$$\exp\left(t\int\limits_{2t\epsilon'_{h'}(k)< n}\frac{dk}{\pi}2\epsilon'_{h'}(k)\ln\left[\cos(\Delta_k)\right] + n\int\limits_{2t\epsilon'_{h'}(k)> n}\frac{dk}{\pi}\ln\left[\cos(\Delta_k)\right]\right)$$

# Approach II: "Form-Factor" Sums

### Consider a quench within the ordered phase h,h'<1

- 1. Go to large, finite volume L
- 2. initial state = one of the two ground states

$$|0\rangle = \frac{1}{\sqrt{2}} \Big[ |0\rangle_{\rm R} \pm |0\rangle_{\rm NS} \Big] \qquad \alpha_q |0\rangle_{\rm R} = 0 \qquad q_m = \frac{2\pi}{L} m \;, \quad m = -\frac{L}{2}, \dots, \frac{L}{2} - 1$$

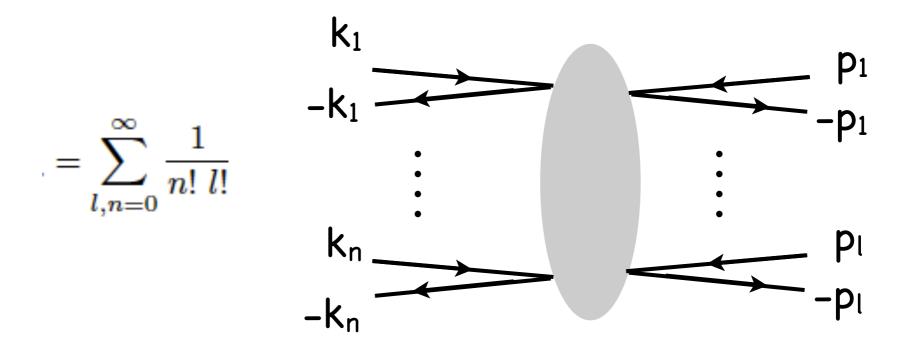
periodic bc's antiperiodic bc's on fermions on fermions

3. Express this in terms of the new Bogoliubov fermions

$$\begin{split} |0\rangle_{\rm NS} \; &=\; \exp\left(i\sum_{p>0}K(q)\beta_q^\dagger\beta_{-q}^\dagger\right)|0'\rangle_{\rm NS} \;, \\ |0\rangle_{\rm R} \; &=\; \exp\left(i\sum_{q>0}K(q)\beta_q^\dagger\beta_{-q}^\dagger\right)|0'\rangle_{\rm R} \;. \end{split} \qquad K(q) = \tan\left[\frac{\theta_{h'}(q)-\theta_h(q)}{2}\right] \end{split}$$

$$_{NS}\langle 0|\sigma_{m}^{z}(t)|0\rangle_{R} = \sum_{l,n=0}^{\infty} \frac{1}{n! \ l!} \sum_{k_{1},\dots,k_{n} \atop p_{1},\dots,p_{l}} \left[\prod_{j=1}^{n} K(k_{j})\right] \left[\prod_{i=1}^{l} K(p_{i})\right]$$

$$_{NS}\langle -k_1, k_1, \ldots, -k_n, k_n | \sigma_m^z(t) | p_1, -p_1, \ldots, p_l, -p_l \rangle_{R}$$



$$NS\langle 0|\sigma_m^z(t)|0\rangle_{\mathbf{R}} = \sum_{l,n=0}^{\infty} \frac{1}{n!} \sum_{\substack{k_1,\dots,k_n\\p_1,\dots,p_l}} \left[\prod_{j=1}^n K(k_j)\right] \left[\prod_{i=1}^l K(p_i)\right]$$

$$NS\langle -k_1,k_1,\dots,-k_n,k_n|\sigma_m^z(t)|p_1,-p_1,\dots,p_l,-p_l\rangle_{\mathbf{R}}$$

$$K_1 - k_1 - k_1$$

$$= \sum_{l,n=0}^{\infty} \frac{1}{n!} \frac{1}{l!}$$

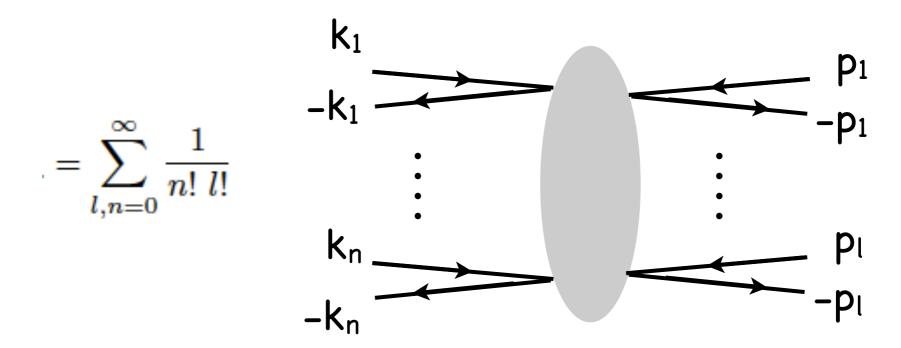
$$k_n - k_n - k_n$$

#### form factors are known exactly for the lattice model

(Vaidya&Tracy 1978, vonGehlen, Iorgov, Pakuliak, Shadura & Tykhyy 2008)

$$_{NS}\langle 0|\sigma_{m}^{z}(t)|0\rangle_{R} = \sum_{l,n=0}^{\infty} \frac{1}{n! \ l!} \sum_{k_{1},\dots,k_{n} \atop p_{1},\dots,p_{l}} \left[\prod_{j=1}^{n} K(k_{j})\right] \left[\prod_{i=1}^{l} K(p_{i})\right]$$

$$NS\langle -k_1, k_1, \ldots, -k_n, k_n | \sigma_m^z(t) | p_1, -p_1, \ldots, p_l, -p_l \rangle_R$$



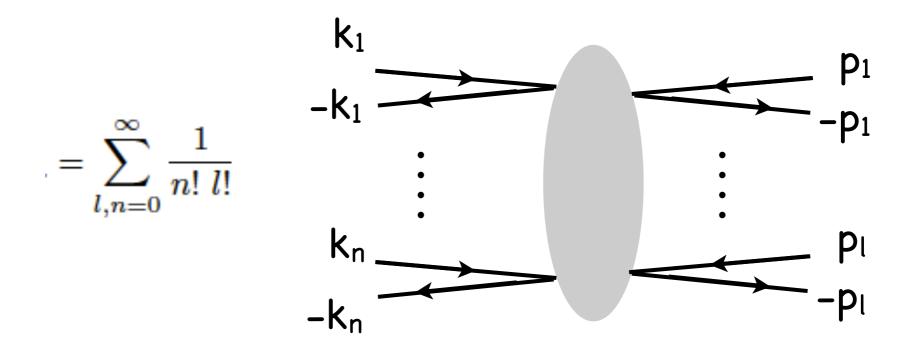
### Idea: Consider K(q) as expansion parameter:

$$n(q)=rac{\langle 0|eta_q^\daggereta_q|0
angle}{\langle 0|0
angle}=rac{K^2(q)}{1+K^2(q)}$$
 density of excitations

n(q) small  $\Leftrightarrow K(q)$  uniformly small in q

$$_{\text{NS}}\langle 0|\sigma_m^z(t)|0\rangle_{\text{R}} = \sum_{l,n=0}^{\infty} \frac{1}{n! \ l!} \sum_{k_1,\dots,k_n \atop p_1,\dots,p_l} \left[\prod_{j=1}^n K(k_j)\right] \left[\prod_{i=1}^l K(p_i)\right]$$

$$NS\langle -k_1, k_1, \ldots, -k_n, k_n | \sigma_m^z(t) | p_1, -p_1, \ldots, p_l, -p_l \rangle_R$$



- 1. Dominant contributions from even orders K<sup>2n</sup>
- 2.Leading contributions at order  $K^{2n}$  from terms with n=1 and  $\{k_1,...,k_n\}=\{p_1,...,p_n\}$

sum these to all orders ⇒

$$\frac{\langle 0|\sigma_m^z(t)|0\rangle}{\langle 0|0\rangle} \propto \exp\left(-t\int_0^\pi \frac{dk}{\pi} \left[K^2(k) + \mathcal{O}(K^6)\right] |2\epsilon'(k)|\right)$$

- Low density expansion of the full answer.
- Works well everywhere except very close to QCP.
- 2-point function calculated similarly (more complicated).

#### Conclusions

- 1. Nonequilibrium evolution in integrable models appears to be special.
- 2. Nonlocality does not save the day. 🙁
- 3. "Form factor" approach generalizes to "integrable" quenches (initial state ⇔ integrable boundary conditions)
  - ⇒ mass quench (m=∞ to m finite) in sine-Gordon
- **4.** What happens for more general initial states (e.g. break translation invariance) ?  $\Rightarrow$  Ising chain.