

Exactly Solvable Quantum Mechanics and Infinite Families of Multi-indexed Orthogonal Polynomials

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Outline

- 1 New Discovery
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 - Crum's Theorem
 - Modified Crum's Theorem
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Discovery of ∞ -many Multi-Indexed Polynomials

- Infinitely many *orthogonal polynomials satisfying second order differential equations*, after Hermite, Laguerre and Jacobi polynomials, (Otake-Sasaki, 2009-11)
- called Infinite Families of **Multi-Indexed orthogonal polynomials** $P_{\mathcal{D},n}(x)$, $\mathcal{D} = \{d_1, \dots, d_M\}$, $d_j \in \mathbb{N}$:

$$\int P_{\mathcal{D},n}(x)P_{\mathcal{D},m}(x)\mathcal{W}_{\mathcal{D}}(x)dx = h_{\mathcal{D},n}\delta_{nm}$$

- Solutions of *exactly solvable Schrödinger eq.*
- degree $\ell + n$ polynomial in x , but **forming a complete set**,
- **No three term recurrence relations**
- *global solutions* of Fuchsian differential equations with $3 + \ell$ *regular singularities*, utterly **unknown** for $\ell > 1$ before 2009

∞ -many Exceptional Orthogonal Polynomials

- for $\mathcal{D} = \{\ell\}$, $\ell \geq 1 \Rightarrow$ Exceptional orthogonal (Jacobi & Laguerre, 2009) polynomials $\ell = 1, 2, \dots$, $P_{\ell,n}(x)$, $n = 0, 1, 2, \dots$, (n counts nodes):

$$\int P_{\ell,n}(x) P_{\ell,m}(x) \mathcal{W}_{\ell}(x) dx = h_{\ell,n} \delta_{nm}$$

- generalisation: *Exceptional (X_{ℓ}) Wilson, Askey-Wilson, Meixner-Pollaczek, continuous Hahn, Racah, q -Racah, dual Hahn, dual q -Hahn, little q -Jacobi, Meixner polynomials* are also discovered as solutions of *exactly solvable difference Schrödinger eq.* (discrete quantum mechanics) (Odake-Sasaki, '09, '10, '11)

History

- $\ell = 1$ exceptional Laguerre and Jacobi polynomials, introduced by Gómez-Ullate et al (July '08) within Sturm-Liouville theory, by avoiding **Bochner's theorem**
- quantum mechanical reformulation with shape-invariant potentials by Quesne (July '08)
- $\forall \ell \geq 1$ exceptional Laguerre and Jacobi polynomials discovered by Odake-Sasaki (June '09)
- new (type II) $\ell = 2$ exceptional Laguerre & Jacobi introduced by Quesne (June '09)
- $\forall \ell \geq 2$ type II exceptional Laguerre & Jacobi introduced by Odake-Sasaki (Nov. '09)
- precursor of X_ℓ Laguerre by Junker-Roy ('97)

General Structure of Factorised Hamiltonians

Problem: Find the complete set of eigenvalues and eigenfunctions

$$\mathcal{H}\phi_n(x) = \mathcal{E}_n\phi_n(x), \quad \int \phi_n^2(x) dx < \infty, \quad n = 0, 1, 2, \dots,$$

by adjusting the const. of $\mathcal{H} \Rightarrow \mathcal{E}_0 = 0$

$\Rightarrow$ **Positive Semi-Definite** Hamiltonian $\mathcal{H}$ (Hermitian Matrix)

$$0 = \mathcal{E}_0 < \mathcal{E}_1 < \mathcal{E}_2 < \dots, \quad \Rightarrow \quad \mathcal{H} = \mathcal{A}^\dagger \mathcal{A}$$

$$\mathcal{A} = d/dx - dw(x)/dx, \quad \mathcal{A}^\dagger = -d/dx - dw(x)/dx,$$

$\phi_0(x)$: groundstate wavefunction, no node, square integrable

$\phi_0(x) = e^{w(x)}, \quad w(x) \in \mathbb{R}$: prepotential $\mathcal{A}\phi_0(x) = 0$

$$\mathcal{H} = -d^2/dx^2 + V(x), \quad V(x) = (dw(x)/dx)^2 + d^2w(x)/dx^2$$

Structure of Associated Hamiltonians

associated Hamiltonian $\mathcal{H}^{[1]} \stackrel{\text{def}}{=} \mathcal{A}\mathcal{A}^\dagger$ intertwining relation

$$\mathcal{A}\mathcal{A}^\dagger\mathcal{A} = \mathcal{A}\mathcal{H} = \mathcal{H}^{[1]}\mathcal{A}, \quad \mathcal{A}^\dagger\mathcal{A}\mathcal{A}^\dagger = \mathcal{A}^\dagger\mathcal{H}^{[1]} = \mathcal{H}\mathcal{A}^\dagger$$

essentially **iso-spectral**

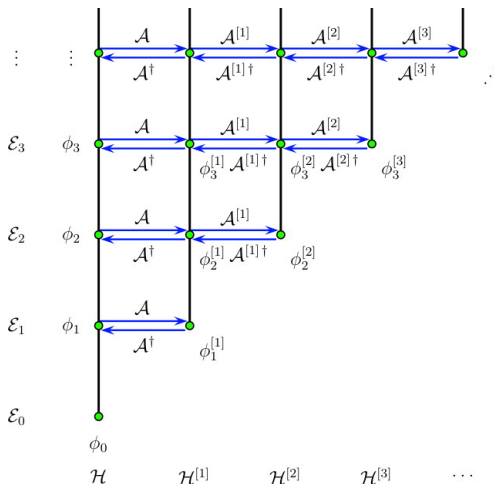
$$\begin{aligned} \phi_n^{[1]}(x) &\stackrel{\text{def}}{=} \mathcal{A}\phi_n(x), \quad \phi_n(x) = \mathcal{A}^\dagger/\mathcal{E}_n\phi_n^{[1]}(x), \quad n = 1, 2, \dots, \\ &\Rightarrow \mathcal{H}^{[1]}\phi_n^{[1]}(x) = \mathcal{E}_n\phi_n^{[1]}(x), \quad n = 1, 2, \dots \end{aligned}$$

removing the groundstate energy $\mathcal{E}_1$ from $\mathcal{H}^{[1]}$

$\Rightarrow$ positive semi-definite

$\Rightarrow$ factorisable $\mathcal{H}^{[1]} = \mathcal{A}^{[1]\dagger}\mathcal{A}^{[1]} + \mathcal{E}_1$ repeat!!

Schematic Picture of Crum's Theorem '55



Eigenfunctions etc for Crum's Theorem '55

in terms of Wronskian

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n = M, M+1, \dots),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\phi_0, \phi_1, \dots, \phi_{M-1}, \phi_n](x)}{W[\phi_0, \phi_1, \dots, \phi_{M-1}](x)},$$

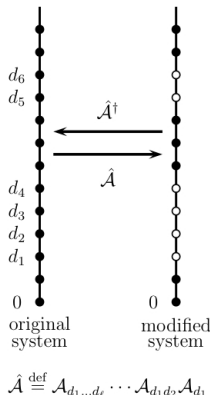
$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=0}^{M-1} (\mathcal{E}_n - \mathcal{E}_j) \cdot h_n \delta_{mn},$$

$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\phi_0, \phi_1, \dots, \phi_{M-1}](x)|,$$

also called **M-step Darboux-Crum transformation**

Schematic Picture of Modified Crum's Theorem

delete eigenstates $\mathcal{D} \stackrel{\text{def}}{=} \{d_1, d_2, \dots, d_M\}$, $d_j \geq 0$, $\prod_{j=1}^M (m - d_j) \geq 0$,
 $\forall m \in \mathbb{Z}_{\geq 0}$



Eigenfunctions etc for Modified Crum's Theorem

by Krein-Adler ('57, '94)

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n \in \mathbb{Z}_{\geq 0} \setminus \mathcal{D}),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}, \phi_n](x)}{W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}](x)},$$

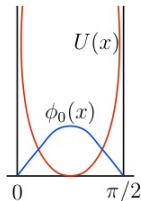
$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=1}^M (\mathcal{E}_n - \mathcal{E}_{d_j}) \cdot h_n \delta_{m n},$$

$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}](x)|$$

exactly solvable $\Rightarrow$ exactly solvable

Example: Pöschl-Teller potential $\Rightarrow$ Jacobi Polynomial

- $\mathcal{H} = -\frac{d^2}{dx^2} + U(x)$, $U(x) = \frac{g(g-1)}{\sin^2 x} + \frac{h(h-1)}{\cos^2 x} - (g+h)^2$,
regular sing. $x = 0$, $g, 1-g$, $x = \pi/2$, $h, 1-h$, $\lambda = \{g, h\}$,
- groundstate wavefunct. $\phi_0(x) = (\sin x)^g (\cos x)^h$, $g, h > 0$,
 $w(x; \lambda) = g \log \sin x + h \log \cos x$, $0 < x < \pi/2$,
- $\mathcal{E}_n(\lambda) = 4n(n+g+h)$, $\eta(x) \stackrel{\text{def}}{=} \cos 2x$
- $\phi_n(x; \lambda) = \phi_0(x) P_n^{(g-1/2, h-1/2)}(\eta(x))$, P_n : **Jacobi polynomial**



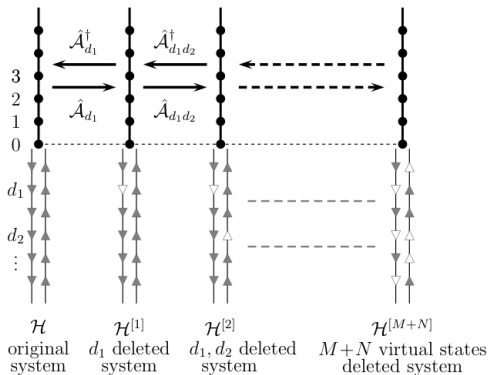
Multi-Indexed Orthogonal Polynomials 1

- Pöschl-Teller potential has **virtual state solutions**, type I and II, **generated by** the **discrete symmetry** of the potential:
 $g \rightarrow 1 - g$, and/or $h \rightarrow 1 - h$
- **negative energy** and **non-square integrable**

$$\mathcal{H}\tilde{\phi}_v(x) = \tilde{\mathcal{E}}_v\tilde{\phi}_v(x), \quad \tilde{\mathcal{E}}_v < 0 \quad (\tilde{\phi}_v, \tilde{\phi}_v) = (1/\tilde{\phi}_v, 1/\tilde{\phi}_v) = \infty$$

- they have **no zeros** in $x \in (0, \pi/2)$
- **delete virtual states à la Adler** $\mathcal{D} \stackrel{\text{def}}{=} \{d_1^I, \dots, d_M^I, d_1^{II}, \dots, d_N^{II}\}$,
 $d_j^{I,II} \geq 1$

Schematic Picture of Virtual States Deletion



Eigenfunctions etc after Virtual States Deletion

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n \in \mathbb{Z}_{\geq 0}),$$

$$\mathcal{H}^{[M]} \tilde{\phi}_v^{[M]}(x) = \tilde{\mathcal{E}}_v \tilde{\phi}_v^{[M]}(x) \quad (v \in \mathcal{V} \setminus \mathcal{D}),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}, \phi_n](x)}{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)},$$

$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=1}^M (\mathcal{E}_n - \tilde{\mathcal{E}}_{d_j}) \cdot h_n \delta_{m n},$$

$$\tilde{\phi}_v^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}, \tilde{\phi}_v](x)}{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)},$$

$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)|.$$

shape inv. **exactly solvable** $\Rightarrow$ shape inv. **exactly solvable**

Multi-Indexed Orthogonal Polynomials 2

- explicit forms of type I virtual states

$$\begin{aligned}\tilde{\phi}_v^I(x) &\stackrel{\text{def}}{=} (\sin x)^g (\cos x)^{1-h} \xi_v^I(\eta(x); g, h), \\ \xi_v^I(\eta; g, h) &\stackrel{\text{def}}{=} P_v(\eta; g, 1-h), \quad v = 0, 1, \dots, [h - \tfrac{1}{2}]', \\ \tilde{\xi}_v^I &\stackrel{\text{def}}{=} -4(g + v + \tfrac{1}{2})(h - v - \tfrac{1}{2}), \quad \tilde{\delta}^I \stackrel{\text{def}}{=} (-1, 1)\end{aligned}$$

- explicit forms of type II virtual states

$$\begin{aligned}\tilde{\phi}_v^{II}(x) &\stackrel{\text{def}}{=} (\sin x)^{1-g} (\cos x)^h \xi_v^{II}(\eta(x); g, h), \\ \xi_v^{II}(\eta; g, h) &\stackrel{\text{def}}{=} P_v(\eta; 1-g, h), \quad v = 0, 1, \dots, [g - \tfrac{1}{2}]', \\ \tilde{\xi}_v^{II} &\stackrel{\text{def}}{=} -4(g - v - \tfrac{1}{2})(h + v + \tfrac{1}{2}), \quad \tilde{\delta}^{II} \stackrel{\text{def}}{=} (1, -1)\end{aligned}$$

Multi-Indexed Orthogonal Polynomials 3

- Multi-Indexed Orthogonal Polynomials $P_{\mathcal{D},n}(\eta)$:

$$\phi_n^{[M,M]}(x) \equiv \phi_{\mathcal{D},n}(x; \lambda) = (-4)^{M+N} \psi_{\mathcal{D}}(x; \lambda) P_{\mathcal{D},n}(\eta(x); \lambda),$$

$$\psi_{\mathcal{D}}(x; \lambda) \stackrel{\text{def}}{=} \frac{\phi_0(x; \lambda^{[M,M]})}{\Xi_{\mathcal{D}}(\eta(x); \lambda)},$$

- $\lambda^{[M,M]} = (g + M - N, h - M + N)$,
 $\Xi_{\mathcal{D}}(\eta)$ has **no node** in $-1 < \eta < 1$;

- orthogonality

$$\int_{-1}^1 d\eta \frac{W(\eta; \lambda^{[M,M]})}{\Xi_{\mathcal{D}}(\eta; \lambda)^2} P_{\mathcal{D},m}(\eta; \lambda) P_{\mathcal{D},n}(\eta; \lambda) = h_{\mathcal{D},n} \delta_{nm}$$

- Pearson's equation satisfied

Multi-Indexed Orthogonal Polynomials 4

- Explicit Forms

$$P_{\mathcal{D},n}(\eta; \lambda) \stackrel{\text{def}}{=} W[\mu_1, \dots, \mu_M, \nu_1, \dots, \nu_N, P_n](\eta) \\ \times \left(\frac{1-\eta}{2}\right)^{(M+g+\frac{1}{2})N} \left(\frac{1+\eta}{2}\right)^{(N+h+\frac{1}{2})M}$$

$$\Xi_{\mathcal{D}}(\eta; \lambda) \stackrel{\text{def}}{=} W[\mu_1, \dots, \mu_M, \nu_1, \dots, \nu_N](\eta) \\ \times \left(\frac{1-\eta}{2}\right)^{(M+g-\frac{1}{2})N} \left(\frac{1+\eta}{2}\right)^{(N+h-\frac{1}{2})M}$$

$$\mu_j = \left(\frac{1+\eta}{2}\right)^{\frac{1}{2}-h} \xi_{d_j^I}^I(\eta; g, h), \quad \nu_j = \left(\frac{1-\eta}{2}\right)^{\frac{1}{2}-g} \xi_{d_j^{II}}^{II}(\eta; g, h)$$

- $P_{\mathcal{D},n}(\eta)$ degree $\ell + n$, $\Xi_{\mathcal{D}}(\eta)$ degree ℓ ;

$$\ell = \sum_{j=1}^M d_j^I + \sum_{j=1}^N d_j^{II} - \frac{1}{2}M(M-1) - \frac{1}{2}N(N-1) + MN \geq 1$$

Multi-Indexed Orthogonal Polynomials 4

- $P_{\mathcal{D},n}(\eta)$: global solution of a Fuchsian differential equation with $3 + \ell$ regular singularities
- characteristic exponents $\rho = 0, 3$
- for $\mathcal{D} = \{\ell^I\}$ or $\{\ell^{II}\} \Rightarrow$ the Exceptional Orthogonal Polynomials (derived in '09 by Odake-Sasaki)

Summary and Outlook

- Infinitely many new orthogonal polynomials satisfying second order differential or difference equations are discovered recently.
- Various concepts and methods of QM have much wider currency and utility in the theory of ordinary differential and difference equations than is usually regarded.
- Various properties of the Askey-scheme of hypergeometric orthogonal polynomials can be understood in a unified fashion, both of a continuous and a discrete variable.

Work by Satoru Odake and R. Sasaki, 1

- “Infinitely many shape invariant discrete quantum mechanical systems and new exceptional orthogonal polynomials related to the Wilson and the Askey-Wilson polynomials,” Phys. Lett. **B682** (2009) 130-136.
- “Another set of infinitely many exceptional (X_ℓ) Laguerre polynomials,” Phys. Lett. **B684** (2010) 173-176.
- “Infinitely many shape invariant potentials and cubic identities of the Laguerre and Jacobi polynomials.” J. Math. Phys. **51** (2010) 053513.
- “Exceptional Askey-Wilson type polynomials through Darboux-Crum transformations,” J. Phys. **A43** (2010) 335201.
- C-L. Ho, S. Odake and R. Sasaki, “Properties of the exceptional (X_ℓ) Laguerre and Jacobi polynomials,” arXiv:0912.5477 [math-ph].

Work by Satoru Odake and R. Sasaki, 2

- “A new family of shape invariantly deformed Darboux-Pöschl-Teller potentials with continuous ℓ ,” J. Phys. A **44** (2011) 195203.
- “Dual Christoffel transformations,” Prog. Theor. Phys. **126** (2011) 1-34.
- “Exceptional (X_ℓ) (q) -Racah Polynomials,” Prog. Theor. Phys. **125** (2011) 851-870.
- “Discrete quantum mechanics,” (Topical Review) J. Phys. **A44** (2011) 353001.
- “Exactly solvable quantum mechanics and infinite families of multi-indexed orthogonal polynomials,” Phys. Lett. **B702** (2011) 164-170.